



## **Notice of a public meeting of Audit & Governance Committee**

- To:** Councillors Cannon (Chair), Steward (Vice-Chair), Lisle, Cuthbertson, Kramm, Williams and Mason, Mr Mann and Mr Mendus (Independent Members)
- Date:** Wednesday, 6 March 2019
- Time:** 5.30 pm
- Venue:** The George Hudson Board Room - 1st Floor West Offices (F045)

### **AGENDA**

#### **1. Declarations of Interest**

At this point in the meeting, Members are asked to declare:

- any personal interests not included on the Register of Interests
- any prejudicial interests or
- any disclosable pecuniary interests

which they might have in respect of business on this agenda.

#### **2. Public Participation**

It is at this point in the meeting that members of the public who have registered their wish to speak can do so. The deadline for registering is by **5:00pm** on **Tuesday 5 March 2019**. To register please contact the Democracy Officer for the meeting, on the details at the foot of this agenda.

## **Filming, Recording or Webcasting Meetings**

Please note that, subject to available resources, this meeting will be filmed and webcast, or recorded, including any registered public speakers who have given their permission. This broadcast can be viewed at: <http://www.york.gov.uk/webcasts>.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at:

[http://www.york.gov.uk/download/downloads/id/11406/protocol\\_for\\_webcasting\\_filming\\_and\\_recording\\_of\\_council\\_meetings\\_20160809.pdf](http://www.york.gov.uk/download/downloads/id/11406/protocol_for_webcasting_filming_and_recording_of_council_meetings_20160809.pdf)

- 3. Minutes (Pages 1 - 12)**  
To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 6 February 2019.
- 4. Non Disclosure Agreements (Pages 13 - 20)**  
Following Members' request at the last Audit and Governance Committee, this Report provides an explanation of the concept and use of "non disclosure agreements" by CYC in the context of employment law.
- 5. Monitor 4 2018/19 - Key Corporate Risks (inc. KCR 6 Health & Wellbeing) (Pages 21 - 50)**  
This report presents Members with an update on the key corporate risks (KCRs) for City of York Council. A detailed analysis of KCR6 (Health and Wellbeing) is included at Annex B.
- 6. Mazars Audit Progress Report (Pages 51 - 68)**  
The paper attached at Annex A from Mazars, the Council's external auditors, reports on progress in delivering their responsibilities as auditors.

- 7. Audit & Counter Fraud Monitoring Report** (Pages 69 - 92)  
This report provides an update on progress made in delivering the internal audit workplan for 2018/19 and on current counter fraud activity.
- 8. Internal Audit Follow Up Report** (Pages 93 - 98)  
This six monthly report to the committee sets out progress made by Council departments in implementing actions agreed as part of internal audit work.
- 9. Internal Audit & Counter Fraud Plans 2019/20** (Pages 99 - 120)  
This report seeks the Committee's approval for the planned programme of internal audit work to be undertaken in 2019/20. It also includes details of the planned programme of counter fraud work.
- 10. Forward Plan** (Pages 121 - 128)  
This presents the future plan of reports expected to be presented to the Committee during the forthcoming year to February 2020.
- 11. Urgent Business**  
Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Laura Clark  
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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.

**This information can be provided in your own language.**

**我們也用您們的語言提供這個信息 (Cantonese)**

**এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)**

**Ta informacja może być dostarczona w twoim  
własnym języku. (Polish)**

**Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)**

**یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)**

** (01904) 551550**